

IFW



PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN THE APPLICATION OF:

ANAND JAGOTA ET. AL.

CASE NO.: CL2317USNA

APPLICATION NO.: 10/716347

CONFIRMATION NO.: 7610

GROUP ART UNIT: 1753

EXAMINER:

FILED: NOVEMBER 18, 2003

FOR: DISPERSION OF CARBON NANOTUBES BY NUCLEIC ACIDS

RESPONSE TO NOTICE OF INCOMPLETE REPLY

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Attention: Mail Stop Missing Parts

Sir:

This responds to the Notice of Incomplete Reply (Non-provisional) mailed 9/9/2004.

The Notice of Incomplete Reply states that a reply to a Notice of Missing Parts was received on 7/1/04 however was incomplete with respect to the drawings. In particular the drawings:

- Had more than one figure present and each figure was not labeled "Fig" with consecutive Arabic numerals; and
- Were not electronically reproducible.

The Notice of Incomplete Reply also indicates the period of reply remains as set forth in the Notice of Missing parts, however is extendible upon payment of the appropriate fee.

Formal figures were submitted with the reply to the Notice of Missing Parts. Applicant's submit that the figures comply 37 CFR 1.84 in all respects. A copy of the return receipt post

I HEREBY CERTIFY THAT THIS PAPER IS BEING DEPOSITED WITH THE UNITED STATES POSTAL SERVICE AS FIRST CLASS MAIL IN AN ENVELOPE ADDRESSED TO:
COMMISSIONER FOR PATENTS, P.O. BOX 1450, ALEXANDRIA, VA 22313-1450
ON THIS DATE.

9/21/04
DATE


MARY BETH PITCHER

card indicating the receipt of the formal figures as well as a copy of the response accompanies this paper. Additionally, a second copy of the formal figures is being supplied herewith.

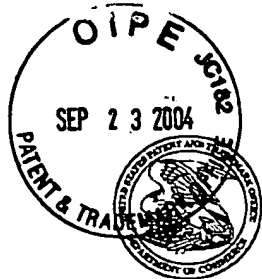
Applicants submit that the reply to the Notice of Missing Parts (7/1/04) was complete as of that date and no extension or additional fee is due. However if it is determined that an additional fee is due, please charge such fee to Deposit Account No. 04-1928 (E. I. du Pont de Nemours and Company).

Respectfully submitted,



S. NEIL FELTHAM
ATTORNEY FOR APPLICANTS
Registration No.: 36,506
Telephone: (302) 992-6460
Facsimile: (302) 992-5374

Dated: September 20, 2004



UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE
 United States Patent and Trademark Office
 Address: COMMISSIONER FOR PATENTS
 P.O. Box 1450
 Alexandria, Virginia 22313-1450
 www.uspto.gov

APPLICATION NUMBER	FILING OR 371 (c) DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/716,347	11/18/2003	Anand Jagota	CL2317USNA

23906
 E I DU PONT DE NEMOURS AND COMPANY
 LEGAL PATENT RECORDS CENTER
 BARLEY MILL PLAZA 25/1128
 4417 LANCASTER PIKE
 WILMINGTON, DE 19805

CONFIRMATION NO. 7610
FORMALITIES LETTER
OC000000013767050
 OC000000013767050

Date Mailed: 09/09/2004

NOTICE OF INCOMPLETE REPLY (NONPROVISIONAL)***Filing Date Granted***

The U.S. Patent and Trademark Office has received your reply on 07/01/2004 to the Notice to File Missing Parts (Notice) mailed 03/30/2004 and it has been entered into the nonprovisional application. The reply, however, does not include the following items required in the Notice.

The period of reply remains as set forth in the Notice. You may, however, obtain EXTENSIONS OF TIME under the provisions of 37 CFR 1.136 (a) accompanied by the appropriate fee (37 CFR 1.17(a)).

A complete reply must be timely filed to prevent ABANDONMENT of the above-identified application. Replies should be mailed to: Mail Stop Missing Parts, Commissioner for Patents, P.O. Box 1450, Alexandria VA 22313-1450.


The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Replacement drawings in compliance with 37 CFR 1.84 and 37 CFR 1.121 are required. The drawings submitted are not acceptable because:
 - More than one figure is present and each figure is not labeled "Fig." with a consecutive Arabic numeral (1, 2, etc.) or an Arabic numeral and capital letter in the English alphabet (A, B, etc.)(see 37 CFR 1.84(u)(1)). See Figure(s) 6a.
 - The drawings submitted to the Office are not electronically reproducible. Drawing sheets must be submitted on paper, which is flexible, strong, white, smooth, non-shiny, and durable (see 37 CFR 1.84(e)). See Figure(s) 5a,5b,10,11.

Replies should be mailed to: Mail Stop Missing Parts
 Commissioner for Patents
 P.O. Box 1450
 Alexandria VA 22313-1450

*A copy of this notice **MUST** be returned with the reply.*

A handwritten signature, possibly reading "OW", is written over a horizontal line.

Customer Service Center

Initial Patent Examination Division (703) 308-1202

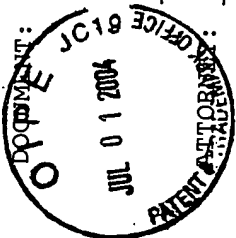
PART 2 - COPY TO BE RETURNED WITH RESPONSE



THE STAMP OF THE PATENT OFFICE HEREON ACKNOWLEDGES THE
RECEIPT, ON THE DATE INDICATED, OF THE FOLLOWING:

CASE NO.: CL2317USNA

APPN. NO.: 10/716347



DOCUMENT: Response to Missing Parts,
Declaration, Power of Attorney - 2
sheets, Fee Transmittal, Extension
of Time, Copy of Notice of Missing
Parts, Formal Drawings 15 - sheets

S. NEIL FELTHAM



PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN THE APPLICATION OF:

ANAND JAGOTA ET. AL.

CASE NO.: CL2317USNA

APPLICATION NO.: 10/716347

CONFIRMATION NO.: 7610

GROUP ART UNIT: 1753

EXAMINER: UNKNOWN

FILED: NOVEMBER 18, 2003

FOR: DISPERSION OF CARBON NANOTUBES BY NUCLEIC ACIDS

**RESPONSE TO NOTICE TO FILE MISSING
PARTS OF APPLICATION-FILING DATE GRANTED**

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Attention: Mail Stop Missing Parts

Sir:

In response to the Notice to File Missing Parts of Application mailed March 30, 2004, please find the following accompanying documents:

a Declaration;

2 Powers of Attorney;

Petition for One Month Extension of Time;

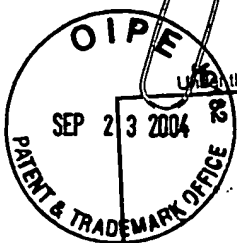
Copy of the Notice.

The Notice also required replacement drawings. Formal replacement drawings are attached, which comply with 37 CFR 1.84 and 1.121. No new matter has been added.

The Commissioner is authorized to charge the surcharge fee applicable for filing the Declaration on a date later than the filing date and any extension of time fee to Deposit Account 04-1928 (E. I. du Pont de Nemours and Company).

Respectfully submitted,

Loretta F. Smith
ATTORNEY FOR APPLICANTS
Registration No.: 45,116



Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Certificate of Mailing under 37 CFR 1.8

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to:

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

on JUNE 29, 2004
Date

Mary Beth Pitcher
Signature

MARY BETH PITCHER
Typed or printed name of person signing Certificate

Note: Each paper must have its own certificate of mailing, or this certificate must identify each submitted paper.

10/716347
CL2317USNA
RESPONSE TO NOTICE TO FILE MISSING PARTS
PETITION FOR EXTENSION OF TIME
FEE TRANSMITTAL
POWER OF ATTORNEY - 2 SHEETS
DECLARATION (37 CFR 1.63)
COPY OF NOTICE TO FILE MISSING PARTS
FORMAL DRAWINGS - 11 FIGURES ON 15 SHEETS

This collection of information is required by 37 CFR 1.8. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 1.8 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.



PTO/SB/17 (10-03)
Approved for use through 07/31/2006. OMB 0651-0032
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

FEE TRANSMITTAL for FY 2004

Effective 10/01/2003. Patent fees are subject to annual revision.

☐ Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$ 240.00

Complete if Known

Application Number	10/716347
Filing Date	November 18, 2003
First Named Inventor	Anand Jagota Et. Al.
Examiner Name	Unknown
Art Unit	1753
Attorney Docket No.	CL2317USNA

METHOD OF PAYMENT (check all that apply)

☐ Check ☐ Credit card ☐ Money Order ☐ Other ☐ None

☒ Deposit Account:

Deposit
Account
Number
Deposit
Account
Name

04-1928

E. I. du Pont de Nemours and Company

The Director is authorized to: (check all that apply)

☒ Charge fee(s) indicated below ☒ Credit any overpayments

☒ Charge any additional fee(s) or any underpayment of fee(s)

☐ Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.

FEE CALCULATION

1. BASIC FILING FEE

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
1001 770	2001 385	Utility filing fee	
1002 340	2002 170	Design filing fee	
1003 530	2003 265	Plant filing fee	
1004 770	2004 385	Reissue filing fee	
1005 160	2005 80	Provisional filing fee	

SUBTOTAL (1) (\$ 0.00

2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE

Total Claims	Extra Claims	Fee from below	Fee Paid
	-20** =	18	
Independent Claims	-3** =	86	
Multiple Dependent	YES	290.00	

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description
1202 18	2202 9	Claims in excess of 20
1201 86	2201 43	Independent claims in excess of 3
1203 290	2203 145	Multiple dependent claim, if not paid
1204 86	2204 43	** Reissue independent claims over original patent
1205 18	2205 9	** Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) (\$ 0.00

**or number previously paid, if greater; For Reissues, see above

FEE CALCULATION (continued)

3. ADDITIONAL FEES

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
1051 130	2051 65	Surcharge - late filing fee or oath	130.00
1052 50	2052 25	Surcharge - late provisional filing fee or cover sheet	
1053 130	1053 130	Non-English specification	
1812 2,520	1812 2,520	For filing a request for ex parte reexamination	
1804 920*	1804 920*	Requesting publication of SIR prior to Examiner action	
1805 1,840*	1805 1,840*	Requesting publication of SIR after Examiner action	110.00
1251 110	2251 55	Extension for reply within first month	
1252 420	2252 210	Extension for reply within second month	
1253 950	2253 475	Extension for reply within third month	
1254 1,480	2254 740	Extension for reply within fourth month	
1255 2,010	2255 1,005	Extension for reply within fifth month	
1401 330	2401 165	Notice of Appeal	
1402 330	2402 165	Filing a brief in support of an appeal	
1403 290	2403 145	Request for oral hearing	
1451 1,510	1451 1,510	Petition to institute a public use proceeding	
1452 110	2452 55	Petition to revive - unavoidable	
1453 1,330	2453 665	Petition to revive - unintentional	
1501 1,330	2501 665	Utility issue fee (or reissue)	
1502 480	2502 240	Design issue fee	
1503 640	2503 320	Plant issue fee	
1460 130	1460 130	Petitions to the Commissioner	
1807 50	1807 50	Processing fee under 37 CFR 1.17(q)	
1806 180	1806 180	Submission of Information Disclosure Stmt	
8021 40	8021 40	Recording each patent assignment per property (times number of properties)	
1809 770	2809 385	Filing a submission after final rejection (37 CFR 1.129(a))	
1810 770	2810 385	For each additional invention to be examined (37 CFR 1.129(b))	
1801 770	2801 385	Request for Continued Examination (RCE)	
1802 900	1802 900	Request for expedited examination of a design application	

Other fee (specify)

*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$ 240.00

SUBMITTED BY

Name (Print/Type)

Loretta Smith

Registration No.
(Attorney/Agent)

45,116

Telephone

(302) 992-21

Signature

Loretta Smith

Date

29 June 2004

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

This collection of information is required by 37 CFR 1.17 and 1.27. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on this collection of information should be directed to the USPTO.